

01-11-02

A

31057 U.S. PTO  
01/09/02

Docket Number 4-21001B/C1 -

Box-SEA

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EL913014745US  
Express Mail Label Number

January 9, 2002  
Date of Deposit

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

10996 U.S. PTO  
10/042665  
01/09/02

# UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b)(1) is a **continuation** of prior Application No. 09/242,744, filed March 24, 1999.

Applicant (or identifier): SCHUPP ET AL.

Title: RIFAMYCIN BIOSYNTHESIS GENE CLUSTER

Enclosed are:

1. ☒ Specification (Including Claims, Sequence Listing and Abstract) - 193 pages
  2. ☐ Drawings - sheets
  3. ☐ Declaration and Power of Attorney
    - a. ☐ Newly executed (original or copy)
    - b. ☒ Copy from a prior application (unsigned and signed or with indication that original was signed)
      - i. ☐ Deletion of Inventors  
Signed statement attached deleting inventor(s) named in the prior application
  4. ☒ Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the Declaration and Power of Attorney is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
  5. ☐ Microfiche Computer Program (appendix)
  6. ☐ Nucleotide and/or Amino Acid Sequence Submission
    - ☒ Computer Readable Copy
    - ☒ Paper Copy
    - ☐ Statement Verifying Identity of Above Copies
  7. ☐ Preliminary Amendment
  8. ☐ Assignment Papers (Cover Sheet & Document(s))
  9. ☐ English Translation of
  10. ☐ Information Disclosure Statement
  11. ☐ Certified Copy of Priority Document(s)
  12. ☒ Return Receipt Postcard
  13. ☐ Other:
- ☒ The right to elect an invention or species that is different from that elected in parent Application No. 09/242,744 in the event of a restriction or election of species requirement that is identical or substantially similar to that made in said parent application is hereby

Adjustment Date: 07/19/2002  
01/16/2002 EMAIL 00000020 190134 10042665  
02 FC:103 54.00 CR

Filing fee calculation:

- ☐ Before calculating the filing fee, please enter the enclosed Preliminary Amendment.  
☐ Before calculating the filing fee, please cancel claims

Basic Filing Fee								\$	740
Multiple Dependent Claim Fee (\$ 280)								\$	
Foreign Language Surcharge (\$ 900)								\$	
	For	Number Filed		Number Extra		Rate			
Extra Claims	Total Claims	23	-20	3	x	\$	18	=	\$ 54
	Independent Claims	2	-3	0	x	\$	84	=	\$
TOTAL FILING FEE								\$	794

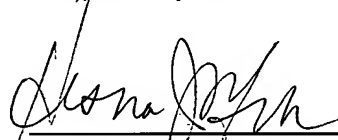
- ☒ Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$794. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Please address all correspondence to the address associated with Customer No. 001095, which is currently:

Thomas Hoxie  
Novartis Corporation  
Patent and Trademark Dept.  
564 Morris Avenue  
Summit, NJ 07901-1027

Please direct all telephone calls to the undersigned at the number given below and all telefaxes to (908) 522-6955.

Respectfully submitted,



Hesna J. Pfeiffer  
Attorney for Applicants  
Reg. No. 22,640  
Tel. No. (908) 522-6940

Date: January 9, 2002